PTO/SB/08a (07-05)

Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under	the Paperwork Redu	action Act of 1995, no	persons are require	d to respond to a collection of information unless it contains a valid OMB control number.		
Substitut	te for form 1449A	VPTO		Complete if Known		
				Application Number	10/698,040	
INFO	RMATION	DISCLOSI	JRE	Filing Date	October 30, 2003	
STA ⁻	TEMENT B	Y APPLICA	ANT	First Named Inventor HAIT, David		
1				Art Unit	3696	
(us	se as many shee	ets as necessar	v)	Examiner Name	SEE, CAROL A	
Sheet	1	of	2	Attorney Docket Number	P-73418-US	

			J.S. PATENT I	DOCUMENTS	
Examiner Initials*	Cite No. 1	Document Number Number-Kind Code ^{2 (f known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US-			

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite, No.	Foreign Patent Document Country Code ³ Number ⁴ Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶	

Examiner Signature	/Carol See/	Date Considered	09/15/2010
-----------------------	-------------	--------------------	------------

EXAMINER Initial if reference considered, whether or not otation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique clation designation number (opinent). See Kinds Codes of Intelligent Communication was unusually an applicant of the document, by the two-letter code (MIPO Standard STS.). Expansivity. Indial interience considered, whether or not clation is in conformance with MEPE 609. Draw line through clation if not in promotine and not considered include copy of this form with not communication to applicant. In algorithms in single clation designation number (portional). See first 60 cup 1970 Patent Documents at https://www.netgo.gov.cm/PEP-90104. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Jugareese patert documents, the richtication of the year of the reign of the Emperor must procede the serial number of the partic document. The discounted control is the particular of the part of the reign of the Emperor must procede the serial number of the patert document. The discounted control is the particular of the particular

The collection of internation is required by 37 CFR 197 and 1.86. The internation is required to obtain or retain a benefit by the public which is to life and by the USFO Depression an application. Confidentiality is generated by 61 US C. 122 and 07 CFR 1.14. This collection is estimated to be 20 these 20 cells or international origination of CFR 1.14. This collection is estimated to be 20 these 20 cells or international origination or the 1.64 to 150 CFR 1.14. This collection is estimated to the 20 these 20 cells or international origination or the 1.64 to 150 CFR 1.14 to 150 CFR 1.

Approved for use through 06/30/2006. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Panerund's Reduction Act of 1995, no negrone are re-

Substit	ute for form 1449	B/PTO		Complete if Known			
				Application Number	10/698,040		
INFO	RMATION	DISCLOS	URE	Filing Date	October 30, 2003		
STA.	TEMENT B	Y APPLIC	CANT	First Named Inventor HAIT, David			
				Art Unit	3696		
(us	(use as many sheets as necessary)		Examiner Name SEE, CAROL A				
Sheet	2	of	2	Attorney Docket Number P-73418-US			

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (where appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	А	JOHN C. HULL; Options, Futures, and Other Derivatives, Sixth Edition. Prentice Hall, Copyright 1993-2006; Pages 397-398.	
	В	MARK BROADIE and JEROME DETEMPLE: American Option Valuation: New Bounds, Approximations, and a Comparison of Existing Methods; The Review of Financial Studies Winter 1996 Vol. 9, No. 4, pp 1211-1250.	

Examiner /Carol Soc/ Date 00/15/2010			
Signature (Odifor See) Considered (Od/15/2010	 09/15/2010	 /Carol See/	

^{*} EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

The collectors of information is required by SF CFR 137 and 138. The information is required to obtain or retain a benefit by the public which is to file (and by the public or information in required by the public of the publi

include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional).

Applicant is to place a check mark here if English language Translation is attached.